

# Helminth parasites and epizoots in common dolphins (genus *Delphinus*) from coastal Peru and Ecuador

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## Abstract

A twenty-five year old dataset of parasites in long-beaked and short-beaked common dolphins (*Delphinus* spp.), using fisheries as an opportunistic platform of access, registered seven species of helminths and one epizoot, being a unique dataset for the Southeast Pacific. Sampling was conducted in 1985-2000 at six fishing ports in Peru and Ecuador where cetaceans were landed from interactions with small-scale fisheries. From a total of 473 common dolphins examined, we identified helminths including three species of Trematoda: *Nasitrema globicephalae*,

### Keywords:

bycatch, cetaceans, checklist, long-beaked common dolphin, parasitology, short-beaked common dolphin, Southeast Pacific Ocean

*Pholeter gastrophilus*, and *Braunina cordiformis*; three species of Nematoda, including *Anisakis* spp., *Crassicauda* spp., and *Halocercus* sp.; and two cestodes, *Tetrabothrius forsteri* and *Clistobothrium delphini*. No acanthocephalans were observed. No statistically significant sexual and ontogenetic variation in helminth prevalence was detected, after which samples were pooled. The highest prevalences in the long-beaked common dolphin (n = 440) were observed for *N. globicephalae* (87.9%, 29 infested/33 sampled) in cranial sinuses, *Crassicauda* sp1. (80%, 4/5) in mammary glands, followed by *Cl. delphini* (28.6%, 2/7) in the blubber, and *P. gastrophilus* (23%, 26/113) in the pyloric stomach. Although comparative testing was unfeasible due to minimal samples of short-beaked common dolphin (n = 33), several of the same helminth species were found; but not *N. globicephalae* nor *B. cordiformis*. No cyamids were encountered while pseudo-stalked barnacles *Xenobalanus globicipitis* were common. Although no new (global) helminth host records were revealed for common dolphins, this study presents a first checklist of parasites separately for the Southeast Pacific long-beaked and short-beaked common dolphins. Future work should include exhaustive laboratory-based necropsies, enhanced sampling of the short-beaked form, review data from recent parasite collections, focus on intermediate hosts and parasitic pathology, including potential human health impact from consumption of small cetaceans.

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## Introduction

Many studies on the metazoan parasite fauna of marine mammals have focused on their impact on population dynamics through morbidity and mortality (Ridgway & Dailey, 1972; Perrin

& Powers, 1980; Lambertsen, 1986; Morimitsu et al., 1992; Raga et al., 1997) or have studied their use as biological tags (Dailey & Otto, 1982; Van Waerebeek et al., 1990; Dailey & Vogelbein, 1991). Other parasitological research examined marine mammal helminths as potential causes of zoonotic disease in humans and explored their paths of transmission (Miyazaki, 1991; Tantaleán, 1994; McCarthy & Moore, 2000). However, all such studies imply a fair knowledge of the baseline level of parasite burden (prevalence and intensity) on the marine mammal host before they can be successfully interpreted. Unfortunately, such information is often not available.

In the Southeast Pacific (SEP) region, the parasite fauna of three small odontocetes was studied in some detail, *i.e.*, coastal and offshore ecotypes of the common bottlenose dolphin *Tursiops truncatus* (Reyes, 1989; Van Waerebeek et al., 1990), the dusky dolphin *Lagenorhynchus obscurus* (Van Waerebeek, 1992; Van Waerebeek et al., 1993), and the Burmeister's porpoise *Phocoena spinipinnis* (Reyes & Van Waerebeek, 1995). Except for a few records and case studies on helminths from common dolphins *Delphinus* (sub)spp. in Peru (Tantaleán & Escalante, 1987; Alfaro-Shigueto, 1994; Van Waerebeek et al., 1997; Van Bressem et al., 2006), the parasitology of common dolphins of the SEP has not been thoroughly documented. One significant finding was an osteopathological study of skull damage, so-called 'basket-like' osteolytic lesions, diagnostic for some *Crassicauda* species infestation (Raga et al., 1982). Such lesions were encountered in 26.5% of Peruvian common dolphins ( $n = 98$ ) and did not differ among sex and age classes (Van Bressem et al., 2006). *Crassicauda* sp. was responsible for 78.8% of all osteolytic cranial lesions (Van Bressem et al., 2006).

Landings of small cetaceans in Peruvian fishing ports, both through bycatch and direct takes for consumption and bait, were monitored periodically from 1984 until 2000 before the dolphin capture and landing ban (Read et al., 1988; García-Godos, 1992; Van Waerebeek & Reyes, 1994a, b; Van Waerebeek et al., 1994a, 1997, 1999), which allowed the study of multiple biological and health parameters (*e.g.*, Van Waerebeek, 1992; Van Bressem et al., 2006, 2007; García-Godos et al., 2007). In Ecuador, a dolphin bycatch monitoring programme with limited biological sampling was conducted between 1992 and 1993 (Félix & Samaniego, 1994). The programme in Peru provided a unique opportunity to study the parasitic fauna in samples of bycatches of several small cetacean species. In Ecuador, the sample of short-beaked common dolphins was skewed towards juveniles due to the lack of commercial value of banned species and limited space in the fishing boats, thus the largest animals were discarded offshore and mostly the small individuals were landed.

Although the scope of this study is not the (complex) taxonomy and nomenclature of *Delphinus* spp. in the Eastern Pacific Ocean, which are under debate, it is important to highlight that it documents the parasitology of two distinct hosts, a short-beaked and a long-beaked morphotype. The cosmopolitan short-beaked common dolphin *Delphinus delphis* L. occurs also in, mostly offshore, tropical and temperate areas of the Eastern Pacific. The long-beaked common dolphin in the Northeast Pacific has been referred to as *Delphinus capensis* (after Heyning & Perrin, 1994) however it has recently been suggested to represent a subspecies *D. delphis bairdii* Tomilin, 1957 (Perrin, 2022). Recognising the

morphological similarity of the neritic long-beaked common dolphin off Peru and north-central Chile with the coastal common dolphins off California and Baja California, this SEP form was also considered as *D. capensis* (Van Waerebeek & Reyes, 1994b; Van Waerebeek et al., 1994a, b). Much further work is needed to evaluate the (sub)specific status of the different morphotypes or ecotypes; however, clearly the long-beaked and short-beaked common dolphins in the SEP present substantial morphological and ecological differences (Van Waerebeek & Reyes, 1994b; Van Waerebeek et al., 1994a, b), much of which remain to be published. They are widely distributed, parapatrically in Peruvian waters and off the north and central coasts of Chile (Van Waerebeek & Reyes, 1994b; Van Waerebeek et al., 1994a, b; Sanino et al., 2003; Reyes, 2009). The large majority (more than 99%) of common dolphins taken in coastal fisheries and landed at Peruvian ports in the 1980s and 1990s were the long-beaked form was assessed (Van Waerebeek et al., 1994a). Whilst in Ecuador only the short-beaked form has been reported (Félix & Samaniego, 1994; Castro & Van Waerebeek, 2019), until recently when a small group of long-beaked specimens was sighted off Santa Clara Island, Ecuador (Félix et al., 2025). From 1985 to 2000 we had access to hundreds of bycaught common dolphins of the SEP Ocean, which allowed for a first checklist and basic epidemiological information of helminths and epizootes. We also compared parasite prevalence from other small cetacean populations in the study region and concisely reviewed published helminth parasite records for common dolphins from other regions.

## Material and Methods

We examined 473 common dolphins (genus *Delphinus*) from Peru and Ecuador for the presence of helminth parasites and epizootes. The freshly dead dolphins (code 2, *sensu* Geraci & Lounsbury, 2005) were landed for human consumption and for bait in ports in the period 1985-2000. Most dolphins were captured in neritic waters in multifilament gillnets either as bycatch or as a directed take (harpooned). A smaller number were netted by pelagic purse-seiners (Read et al., 1988; García-Godos, 1992; Félix & Samaniego, 1994; Van Waerebeek & Reyes, 1994a, b; Van Waerebeek et al., 1997). We examined 440 long-beaked common dolphins from Peru and 33 short-beaked common dolphins, 30 from Ecuador and three from Peru. Several juvenile dolphins but no neonates or calves were included in the Peruvian study sample, while in Ecuador 78% of specimens were calves or juveniles of 80-130 cm length.

The principal ports where biological sampling was implemented included (Fig. 1) Cerro Azul (13°03' S, 76°30' W), Pucusana (12°29' S, 76°49' W), Ancón (11°50' S, 77°10' W), and Chimbote (09°04' S, 78°35' W) (Van Waerebeek & Reyes, 1994a) in Peru; and Santa Rosa (02°12' S, 80°57' W), and Puerto López (01°34' S, 80°49' W) (Félix & Samaniego, 1994) in Ecuador.

Sexual maturity status of the sample of long-beaked common dolphin was based on macroscopic inspection of gonads, *i.e.*, presence of semen in epididymides or presence of at least one *corpus albicans* or *corpus luteum* in at least one ovary. For males and females whose gonads could not be examined, maturity was inferred from standard body length (SL), *i.e.*, males and females

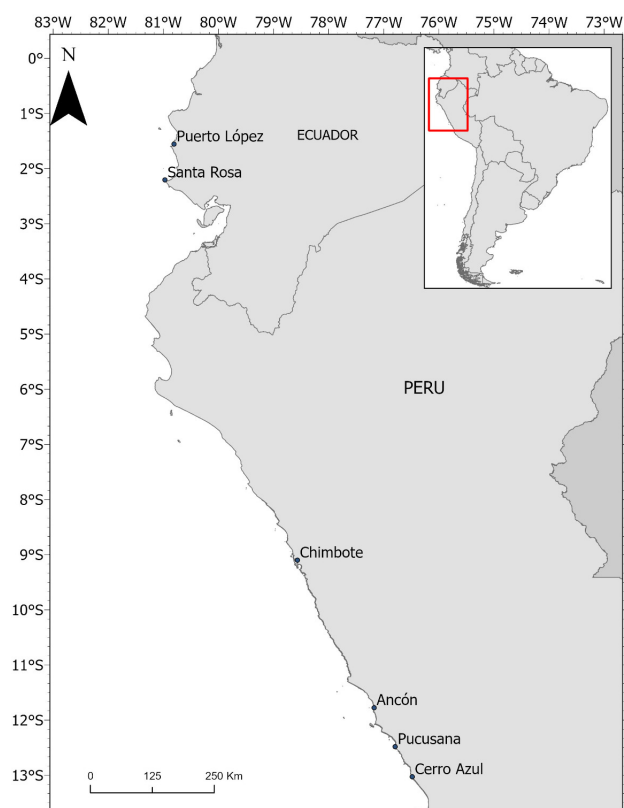


**Figure 1.** Main ports in Peru and Ecuador where bycaught common dolphins *Delphinus* sp. were examined and sampled for helminth parasites and epizoots in the period 1985-2000.

smaller than, respectively, 215 cm and 200 cm, were considered immature, while males and females larger than, respectively, 220 cm and 210 cm were considered mature (Centro Peruano de Estudios Cetológicos CEPEC data archives, Lima, Peru). Animals of intermediate lengths (males between 215 and 220 cm and females between 200 and 210 cm) were classified 'of unknown maturity' (Van Bressem et al., 2006), and removed from the sample when comparing sexually immature and mature long-beaked common dolphins.

Sampling in Ecuador was performed opportunistically during a fisheries interaction study (Samaniego, 1994). Moreover, the bringing to port of bycaught dolphins depended entirely on the fishermen's goodwill; hence only a small number of mostly juvenile specimens and limited information on parasite prevalence and intensity is available. In Peru, due to high commercial value of dolphin carcasses, access to internal organs in fish markets was limited and depended on the cooperation of fishmongers who sometimes allowed us to collect several organs from the thoracic and abdominal cavities. Sampling methodology followed Van Waerebeek et al. (1993); briefly, the integument and body orifices including blowhole, anus, and urogenital slit, as well as flukes, flippers, and dorsal fins, were macroscopically examined *in situ* for the presence of cutaneous conditions (see Samaniego, 1994; Van Bressem et al., 2006) and epizoots, *i.e.*, barnacles and cyamids. The abdominal and thoracic cavities were accessed through a cut of 80-100 cm length midventral and most of the gastrointestinal tract (*i.e.*, fore-, main, and pyloric stomachs, duodenum including ampulla duodenalis and intestines), gonads and occasionally the lungs, mammary glands, and kidneys were

analysed. Heads, after removal of mandibles, were sectioned ventro-laterally as to expose the cranial sinuses, in particular the pterygoid sinus, and the periotic fossa (following terminology in Mead & Fordyce, 2009), which were then thoroughly flushed with seawater over sieves, following Van Waerebeek et al. (1993). The rinsed heads were again inspected macroscopically for any helminths imbedded in the mucosae.

Cetacean sectioning and parasite sampling were normally completed within two to 10 hours after landing. Although evisceration often occurred at night under artificial lighting, the macroscopic examination of organs and parasite quantification was performed during daylight. In Peru, parasites were handled following a standard protocol and were stored in 70% ethanol. Selected trematode specimens were coloured with haematoxylin and mounted in Canada balsam. Nematodes were cleared with lactophenol in permanent slides. Species identification was aided by Dr. R. Verano of the Departamento de Parasitología, Facultad de Ciencias, Universidad Ricardo Palma, Lima. Internal structures were studied and measured with a stereoscope and a binocular compound microscope. Specific voucher specimens and the original dataset (field notes) are archived at the Museo de Delfines, CEPEC, Pucusana, Peru. In Ecuador, dolphins were also examined externally and internally at the landing port. Parasites were stored in an aqueous solution of ethanol (40%), formalin (5%), and glycerin in a proportion 2:2:1. Reference specimens were deposited at the Pontificia Universidad Católica del Ecuador (PUCE) in Quito, Ecuador.

For each host examined (complete specimens or a given organ) the prevalence of infestation was estimated for each parasite species, and the smallest infested and smallest examined host were specified. Intensity of infestation was only occasionally noted. Associated pathology as detected through macroscopic examination is briefly discussed. Eight long-beaked common dolphin specimens of unknown sex were discarded to estimate the prevalence by host sex. In the long-beaked common dolphin we compared the relationship of host sex and the maturity state with the parasite prevalence through a logistic regression analysis. Parasite prevalence (frequency of hosts infected with a specific parasite species) was calculated as the number of infested hosts divided by the total number of hosts examined  $\times 100$ . Confidence intervals (95% CI) for prevalence were computed by the modified Wald method (Agresti & Coull, 1998), which is considered more accurate than the classic so-called 'exact' methods. For comparisons between parasite prevalence, chi-square tests and two-sided Fisher's exact tests were applied. Statistical significance evaluation was set at  $\alpha = 0.05$ . Cloud-based algorithms were used at <https://www.graphpad.com/quickcalcs>. To facilitate the comparison with other geographical regions, a chart of metazoan parasites reported for common dolphins *Delphinus* spp. worldwide was produced (Supplementary Material 1).

## Results

### 1. Host: Long-beaked common dolphin *Delphinus delphis* (unnamed subspecies) - formerly *D. capensis* (Peru, n = 440)

#### Maturity and sexual variation

Numerical data on the prevalence and sample sizes for

**Table 1.** Prevalence of helminth parasites and epizoots in long-beaked common dolphins *Delphinus* from Peru.

Abbreviations: N(ex) = number examined; N(inf) = number infested; Prev = % prevalence; c = complete gastrointestinal tract examined; CI = 95% confidence intervals (modified Wald method)

|                                 |                           | MALES  |         |      | FEMALES |         |      | INDET. SEX |        | POOLED SAMPLE |         |      |                 |
|---------------------------------|---------------------------|--------|---------|------|---------|---------|------|------------|--------|---------------|---------|------|-----------------|
|                                 | Organ                     | N (ex) | N (inf) | Prev | N (ex)  | N (inf) | Prev | N(ex)      | N(inf) | N (ex)        | N (inf) | Prev | 95% CI          |
| TREMATODA                       |                           |        |         |      |         |         |      |            |        |               |         |      |                 |
| <i>Nasitrema globicephalae</i>  | cranial sinuses           | 15     | 15      | 100  | 12      | 11      | 91.7 | 6          | 3      | 33            | 29      | 87.9 | 72.1 – 95.8     |
|                                 | main stomach              | 70     | 6       | 8.6  | 42      | 1       | 2.4  | 1          | 0      | 113           | 7       | 6.19 | 2.82 – 12.5     |
|                                 | pyloric stomach           | 70     | 11      | 15.7 | 42      | 15      | 35.7 | 1          | 0      | 113           | 26      | 23.0 | 16.2 – 31.6     |
|                                 | duodenum                  | 39     | 1       | 2.6  | 22      | 1       | 4.5  | 0          | 0      | 61            | 2       | 3.28 | 0.25 – 11.8     |
|                                 | c. gastrointestinal tract | 34     | 5       | 14.7 | 20      | 6       | 30.0 | 0          | 0      | 54            | 11      | 20.4 | 11.6 – 33.1     |
| <i>Braunina cordiformis</i>     | main stomach              | 70     | 0       | 0    | 42      | 1       | 2.4  | 1          | 0      | 113           | 1       | 0.88 | < 0.0001 – 5.33 |
|                                 | pyloric stomach           | 70     | 11      | 15.7 | 42      | 8       | 19   | 1          | 0      | 113           | 19      | 16.8 | 10.9 – 24.9     |
|                                 | duodenum                  | 39     | 4       | 10.3 | 22      | 1       | 4.5  | 0          | 0      | 61            | 5       | 8.20 | 3.16 – 18.2     |
|                                 | c. gastrointestinal tract | 34     | 6       | 17.6 | 20      | 5       | 25   | 0          | 0      | 54            | 11      | 20.4 | 11.6 – 33.1     |
| NEMATODA                        |                           |        |         |      |         |         |      |            |        |               |         |      |                 |
| <i>Anisakis</i> sp.             | forestomach               | 70     | 8       | 11.4 | 42      | 2       | 4.8  | 1          | 0      | 113           | 10      | 8.85 | 4.71 – 15.7     |
|                                 | duodenum                  | 39     | 0       | 0    | 22      | 1       | 4.5  | 0          | 0      | 61            | 1       | 1.64 | < 0.0001 – 9.55 |
|                                 | c. gastrointestinal tract | 34     | 1       | 2.9  | 20      | 2       | 10   | 0          | 0      | 54            | 3       | 5.56 | 1.32 – 15.7     |
| <i>Crassicauda</i> sp2.         | cranial sinuses           | 15     | 1       | 6.67 | 12      | 0       | 0    | 6          | 1      | 33            | 2       | 6.06 | 0.76 – 21.7     |
| <i>Crassicauda</i> sp1.         | mammary glands            | 0      | 0       | 0    | 5       | 4       | 80   | 0          | 0      | 5             | 4       | 80.0 | 36.0 – 97.9     |
| <i>Halocercus</i> sp.           | lungs                     | 18     | 2       | 11.1 | 8       | 0       | 0    | 1          | 0      | 27            | 2       | 7.41 | 0.96 – 24.5     |
| CESTODA                         |                           |        |         |      |         |         |      |            |        |               |         |      |                 |
| <i>Tetrabothrius forsteri</i>   | pyloric stomach           | 70     | 2       | 2.9  | 42      | 0       | 0    | 0          | 0      | 112           | 2       | 1.79 | 0.09 – 6.68     |
|                                 | duodenum                  | 39     | 2       | 5.1  | 22      | 3       | 13.6 | 0          | 0      | 61            | 5       | 8.20 | 3.16 – 18.2     |
|                                 | intestines                | 35     | 1       | 2.9  | 21      | 5       | 23.8 | 0          | 0      | 56            | 6       | 10.7 | 4.65 – 21.8     |
|                                 | c. gastrointestinal tract | 34     | 5       | 14.7 | 20      | 3       | 15.0 | 0          | 0      | 54            | 8       | 14.8 | 7.44 – 26.9     |
| <i>Clistobothrium delphini</i>  | blubber, anogenital area  | 5      | 1       | 20   | 2       | 1       | 50   | 0          | 0      | 7             | 2       | 28.6 | 7.56 – 64.8     |
| EPIZOITE                        |                           |        |         |      |         |         |      |            |        |               |         |      |                 |
| <i>Xenobalanus globicipitis</i> | flake and flippers        | 243    | 13      | 5.3  | 124     | 15      | 12.1 | 0          | 0      | 365           | 28      | 7.67 | 5.33 – 10.9     |

parasites of long-beaked common dolphin sampled in Peru are given in Table 1. A series of two-tailed Fisher's tests did not reject the  $H_0$  hypothesis (all  $p > 0.074$ ) of zero difference in prevalence between male and female dolphins for any of the helminth parasites studied. A logistic regression analysis showed that neither significant sexual variation (Logistic: Wald-chi-square = 2.95,  $p = 0.085$ ) nor maturity state (Logistic: Wald-chi-square = 2.70,  $p = 0.10$ ) differences existed in the presence of parasites. Due to a larger sample size for pseudo-stalked barnacles, we ran a logistic regression analysis that showed that females and males are equally likely to be carriers of barnacles (Logistic: Wald-chi-square = 0.57,  $p = 0.44$ ). For every 1 cm increase in body length there was in average 1.025 time as likely (95% Wald's confidence limits = 1.011 - 1.039) that a dolphin be parasitized (Logistic: Wald-chi-square = 12.68,  $p = 0.0004$ ). It was concluded that no significant sexual variation in parasitism existed and therefore

the discussion does not further discriminate between the sexes and maturity status of hosts.

### 1.1. Cranial sinuses (n = 33)

Trematoda: Nasitremitidae

*Nasitrema globicephalae* (Neiland et al., 1970)

All flukes encountered in the ventral cranial sinuses of the infested long-beaked common dolphins belonged to a single *Nasitrema* morphotype identified as *N. globicephalae* based on the lanceolate and rounded body shape, length range (9.5 - 22 mm) and internal morphology, as described by Neiland et al. (1970) and observed in other small cetacean species from the same study area (Alfaro-Shigueto, 1994). The cranial sinuses of 87.9% of long-beaked common dolphins (n = 33) were parasitized (Table 1), mostly in the pterygoid sinuses but also in the periotic fossa around the earbones. No brain tissue was examined.

The mean intensity was 15.3 flukes per host (SD  $\pm$  13.6, range 2 - 57, n = 22). The mucosae of the cranial sinuses showed no macroscopically visible lesions. The smallest infested dolphin (AGG-112, female) measured 170.0 cm. A 136-cm juvenile female (JCR-1108) was negative for this trematode.

*Crassicauda* sp2. (Leiper and Atkinson, 1914)

Nematoda: Tetrameridae

Adult crassicaudid roundworms of several cm-length were found in the ventral side, in the pterygoid sinuses of two dolphins of the n = 33 examined (prevalence 6.06%; Table 1). Sexual variation in prevalence could not be tested because there was but a single positive dolphin of known sex. That male dolphin had one nematode; intensity for the other was not noted. *Crassicauda* nematodes were partly buried in the air sinus wall of surrounding bone tissue. Their fragile bodies did not permit the collection of complete nematodes under field conditions. Some necrotized, crater-shaped mucosal tissue was evident at the attachment site. The smallest dolphin examined was a 136-cm female. Interestingly, the two dolphins that were infested (KVV-2362, -2366) were landed on consecutive days (10 and 11 January 1993) at Chimbote port and both were immature-sized. Specific identification was not possible as no complete individuals were obtained, and fragments of worms were partially decomposed. Thus, specific diagnostic characters were not observed and DNA extraction and barcoding sequencing were not possible.

## 1.2. Gastrointestinal tract

Trematoda: Heterophyidae

*Pholeter gastrophilus* (Kossack, 1910) Odhner, 1914

*Pholeter gastrophilus* were found as cysts embedded in the mucosae of the gastrointestinal tract (20.4%, n = 54) (Table 1). This fluke was present in the pyloric stomach (23%, n = 113), and less frequently in the main stomach (6.2%, n = 113) and duodenum (3.3%, n = 61), and was entirely absent from the forestomach (n = 113) and post-duodenal intestines (Table 1). *Pholeter gastrophilus* intensity was low, usually one or two flukes per host sampled. The smallest parasitized dolphin was a female of 184.5 cm (KVV-522), although the smallest dolphin examined was a 136-cm female.

Trematoda: Brauninidae

*Braunina cordiformis* (Wolf, 1903)

Of 54 gastrointestinal tracts studied (forestomach to duodenum), 11 were infested with *B. cordiformis* (prevalence 20.4%, n = 54). The trematode was most commonly found in the pyloric stomach (16.8%, n = 113) and duodenum (8.2%, n = 61), rarely in the main stomach (0.9%, n = 113) and never in the forestomach or the intestines (Table 1). *Braunina cordiformis* occurred mostly as a singleton (median = 1, n = 8) but was also found in clusters of 2-10 individuals, strongly attached to the mucosae although without indications of macroscopic mucosal lesions. This trematode was found in association with *P. gastrophilus* in 5.36% of pyloric stomachs. The smallest host individual examined and infested (in pyloric stomach) was a 136-cm juvenile female.

Nematoda: Anisakidae

*Anisakis* sp. (Dujardin, 1845)

This nematode was confidently identified only to the genus level. Of 54 complete gastrointestinal tracts examined, three were infested (prevalence 5.6%, n = 54, Table 1). However, anisakid roundworms infested 8.9% of a larger sample of forestomachs examined (n = 113). It was not encountered in the main and pyloric stomachs, but in one 216.5-cm dolphin it was found in the duodenum (1.6%, n = 61). In other parts of the intestine *Anisakis* sp. was not encountered (Table 1). Median intensity of infestation was 1.5 anisakid roundworm (range 1-5, n = 8) and no macroscopic lesions were observed. The smallest parasitized dolphin was a 189.5-cm female (JCR-1851), while the smallest individual examined was a 136-cm female. *Anisakis* spp. nematodes have been registered in the gastrointestinal system of common dolphins worldwide (Supplementary Material 1).

Cestoda: Tetrabothriidae

*Tetrabothrius forsteri* (Krefft, 1871)

Adult tetrabothrid tapeworms were found in 14.8% of dolphins (n = 54) for which the entire digestive tract was examined (Table 1). *Tetrabothrius forsteri* occurred most often in the duodenum (n = 61) and intestines (n = 56), and rarely in the pyloric stomach (n = 112) (Table 1). The median intensity was two tapeworms per host (range 1-6, n = 9). No cestodes were observed in the forestomachs (n = 112) or main stomachs (n = 61) (Table 1). The smallest dolphin parasitized with *T. forsteri* was a 180-cm male (AGG-023), while the smallest dolphin examined measured 136 cm. This cestode was previously reported worldwide (Supplementary Material 1).

## 1.3. Lungs (n = 27)

Nematoda: Pseudaliidae

*Halocercus* sp. (Baylis and Daubney, 1925)

Three *Halocercus* lungworms were extracted from the lung alveoli of a 180-cm, sexually immature male and from another male of 210.5 cm from a total of 27 dolphins examined (prevalence = 7.41%) (Table 1). Taxon could be determined only to genus level due to poor fixation of the specimens. As described in Dailey (1985), the anterior ending was typically embedded in a capsule, probably to protect the parasite from becoming dislodged during forceful expiration by the host. The smallest dolphin examined was a 153-cm juvenile male which was negative for *Halocercus*.

In addition, small cysts, mostly less than 5 mm in diameter and partly consisting of necrotized tissue, were found in the lung tissue in low to high densities in 23.1% of examined dolphins (n = 27). However, these cysts were not found to correspond to any metazoan parasite.

## 1.4. Pancreatic duct, spleen, kidneys, and liver (n = 17)

The pancreatic ducts of 17 long-beaked common dolphins (eight females and nine males) were dissected, but none presented helminth parasites. The smallest dolphin examined was a juvenile male of 189.5 cm (JCR-1851). No parasites were

found macroscopically in eight (paired) kidneys (five females, three males) and three livers.

### 1.5. Blubber, mesenteries, and mammary glands (n = 7)

Cestoda: Phyllobothriidae

*Clistobothrium delphini* (Bosc, 1802) Caira, Jensen, Pickering, Ruhnke, & Gallagher, 2020 (previously known as *Phyllobothrium delphini*)

Two of seven dolphins studied (28.6%), a mature female and a 197-cm male, showed small white cysts of merocercoids of *C. delphini* in the blubber, primarily concentrated in the anogenital area (Table 1). However, given the often-large number of cysts and because we had no permission to make multiple sections in the blubber, the total number of merocercoids per dolphin could not be counted. The smallest infested and examined hosts consisted, respectively, of a 197-cm male (MFB-264) and a 161-cm male (AGG-371).

Cestoda: Phyllobothriidae

*Clistobothrium grimaldii* (Moniez, 1899) Caira, Jensen, Pickering, Ruhnke & Gallagher, 2020 (previously known as *Monorygma grimaldii*)

The lack of complete dissections of dolphin carcasses precluded a thorough examination and prevalence estimation for this parasite. However, only one 214.5-cm female long-beaked common dolphin (JCR-1069) was found to be parasitized by merocercoids of *C. grimaldii* cysts in the mesenteries, showing the occurrence of this species in Peruvian waters.

*Crassicauda* sp1. The mammary glands of four of five (80%) females examined (all of which were immature animals) were infested with *Crassicauda* sp1. (Table 1). As was the case for the cranial sinuses, no complete nematode specimens could be extracted from the mammary glands in the field and thus species identification was not possible morphologically. Attempts to extract DNA failed, presumably due to degraded samples. Median intensity per positive host was two nematodes (range 1-7, n = 5). The smallest infested dolphin, also the smallest one examined, a 173-cm female (AGG-488), presented *Crassicauda* worms firmly anchored in both the mammary glands and in the surrounding hypodermis and subcutaneous tissue.

### 1.6. Integument, orifices, and appendages (n = 365)

Thoracica: Balanidae

*Xenobalanus globicipitis* (Steenstrup, 1851)

Pseudo-stalked barnacles were attached primarily to the trailing edge of the flukes, but also to the dorsal fin and flippers in 7.67% of 365 long-beaked common dolphins examined (Table 1). A slightly higher prevalence of females was infested ( $p = 0.0346$ ) compared to males. Although the barnacle's calcareous base is incrustated into the skin, *X. globicipitis* did not cause inflammation or any other macroscopically detectable cutaneous pathology, as was also observed in other Peruvian odontocetes (Van Waerebeek et al., 1993; Reyes & Van Waerebeek, 1995). The smallest infested and examined specimens were respectively a 149-cm male (KVV-1334) and a 136-cm female (JCR-1108).

Amphipoda: Cyamidae

No cyamids (whale-lice) were found on any of the 178 long-beaked common dolphins for which the integument, appendages, and orifices were carefully examined. These included dolphins of both sexes and a full range of body lengths, proxy for age classes.

## 2. Host: Short-beaked common dolphin *Delphinus delphis* (n = 33)

Peru

Only limited data could be collected from three short-beaked common dolphins (KVV-568, KVV-569, and JSM-10). No helminths were found in the cranial sinuses of a 216-cm male (JSM-10). Stomachs (fore-, main, and pyloric) and livers of the three dolphins were found to be negative for helminths, with the exception of one main stomach (KVV-568, mature male) that contained the trematode *P. gastrophilus*. No barnacles, nor cyamids were detected.

Ecuador

### 2.1. Gastrointestinal tract

Nematoda: Anisakidae

*Anisakis* sp. (Dujardin, 1845)

Anisakid roundworms were collected from seven short-beaked common dolphins (n = 30; prevalence = 23.3%). Nematodes were predominantly encountered in the forestomach.

Cestoda: Tetrabothriidae

*Tetrabothrium* sp.

A single tetrabothrid tapeworm was collected at an unspecified location of the gastrointestinal tract, although most likely from the intestine, of a 186-cm male short-beaked common dolphin (Z2SR170). The poor state of preservation of the tapeworm precluded identification beyond genus level.

Cestoda: unidentified tetraphyllidean larvae

Some 20 proceroid stage tetraphyllidean larvae, probably ingested and released from infested fish or squid, were found free in the digestive tract of a juvenile (144 cm) male dolphin (Z2SR080).

### 2.2. Lungs

Nematoda: Pseudaliidae

*Halocercus* sp.

The lungs of one short-beaked common dolphin (n = 30), a 108-cm male calf (Z2SR002), contained the lungworm *Halocercus* sp.

### 2.3. Kidneys

Kidneys of 30 dolphins were sectioned and examined macroscopically, but no parasites were found.

### 2.4. Mesenteries and blubber

Cestoda: Phyllobothriidae

*Clistobothrium delphini* and *Clistobothrium grimaldii*

Merocercoids of *C. delphini*, which we called "cysts" in field

notes, were collected from the blubber around the anogenital area in two specimens ( $n = 30$ ), a 170-cm male (Z2SR186) and a 178-cm female (Z2SR171). A single *Cl. grimaldii* larva was recovered opportunistically from near the intercostal muscle of a male short-beaked common dolphin (Z2SR084).

## 2.5. Integument, orifices, and appendages

Thoracica: Balanidae  
*Xenobalanus globicipitis*

A 91-cm male calf, the only animal amongst 30 *D. delphis* examined (3.3%), presented *X. globicipitis*. Remarkably, the pseudo-stalked barnacles were present with high intensity, with ca. 50 specimens attached to flippers and flukes (Samaniego, 1994).

## Discussion

All species of helminth parasites documented in this study in long-beaked and short-beaked common dolphins from coastal waters of Peru and Ecuador were previously reported elsewhere for other *Delphinus* spp. populations, however typically without distinction between common dolphin (sub)species or ecotypes. Due to very small or indeterminate sample sizes for the short-beaked common dolphin, it was unfeasible to statistically compare parasite loads between the two morphs of the SEP. Also, most helminths and epizoots reported here for *Delphinus* spp. have also been encountered in other small odontocete species from the Southeast Pacific region.

### Trematoda

The cranial sinus fluke *N. globicephalae* showed high prevalence in both inshore (80%) and offshore (94.1%) ecotypes of the common bottlenose dolphin (Reyes, 1989; Van Waerebeek et al., 1990) and in the Peruvian dusky dolphin (78.3%; Van Waerebeek et al., 1990, 1993), but only occasionally parasitized the Burmeister's porpoise (9.3%) (Reyes & Van Waerebeek, 1995). The higher prevalence compared to the porpoises may be explained by differences in the prey composition, related to the porpoises' more neritic distribution or to a dissimilar susceptibility to *Nasitrema* infection.

*Nasitrema globicephalae* was previously documented in common dolphins in the Northern Pacific (Supplementary Material 1). In Peru, *N. globicephalae* is known to infest also the southern right whale dolphin *Lissodelphis peronii*, short-finned pilot whale *Globicephala macrorhynchus*, and false killer whale *Pseudorca crassidens* (Van Waerebeek & Oporto, 1990; Alfaro-Shigueto, 1994). Another host record in Pacific South America includes the Chilean dolphin *Cephalorhynchus eutropia* (Brieva & Oporto, 1991). This trematode has been encountered also in the long-finned pilot whale *Globicephala melas* from Argentina's Tierra del Fuego (Raga et al., 1994). Trematodes of the genus *Nasitrema* and nematodes of the genus *Crassicauda* have been suggested as a cause of stranding and morbidity in small cetaceans (Dailey & Stroud, 1978; Dailey & Walker, 1978; Dailey, 1985; Morimitsu et al., 1992). Comparable to what was found in Peruvian dusky dolphins (Van Waerebeek et al., 1993), we did

not detect any macroscopic lesions of mucosae in the cranial sinuses related to the presence of *Nasitrema*. Also, we identified no strandings of common dolphin other than fisheries-related or of unknown causes, and the question of serious parasitic morbidity remains unanswered. Other studies reported this trematode in free-ranging cetaceans obtained from fisheries and from strandings (Supplementary Material 1).

*Pholeter gastrophilus* is distributed in the North and South Atlantic oceans, as well as in the Mediterranean, Black, and North seas. For the Pacific Ocean just a few records exist for the Peruvian coast (Supplementary Material 1) and South Australia and, for the Indian Ocean, *P. gastrophilus* samples are restricted to the Red Sea (Fraija-Fernández et al., 2017). The trematode species *P. gastrophilus* and *B. cordiformis* were previously mentioned for the common dolphin in the western South Atlantic and the Adriatic Sea (Supplementary Material 1). They were also documented in Peru in both *T. truncatus* ecotypes (*Pholeter* only in the offshore form) (Reyes, 1989; Van Waerebeek et al., 1990), in dusky dolphin (Van Waerebeek et al., 1993), and in the Burmeister's porpoise (Reyes & Van Waerebeek, 1995).

Necropsies of *D. delphis* in the Patagonian coast of Argentina showed the presence of *B. cordiformis* in the forestomach (Berón-Vera et al., 2007), which expresses a different pathobiology than in the long-beaked common dolphin here sampled in Peru where none were registered in the 70 forestomachs examined but were present in 16.8% of pyloric stomachs (Table 1). Previous records of *P. gastrophilus* in *D. delphis* exist also for the Atlantic, west Pacific, and Black Sea (see Supplementary Material 1 for references).

### Nematoda

The low prevalence (3.7%) of cranial crassicaudiasis, as estimated by infestation with *Crassicauda* sp2. nematodes in the ventral cranial sinuses of long-beaked common dolphin in Peru mirrors their absence in the Peruvian dusky dolphin ( $n = 97$ ) (Van Waerebeek et al., 1993), a sympatric species with a highly similar diet (García-Godos et al., 2007) that often forms mixed schools (Van Waerebeek, 1994). Cranial osteolysis, diagnostic for *Crassicauda* spp. infestation, was however encountered in museum specimens at a significantly higher prevalence (26.5%,  $n = 98$ ) in Peru (Van Bresseem et al., 2006) suggesting that common dolphins that recovered from active infestation, however, did not resolve osteopathy. In Peru *Crassicauda* spp. was also commonly found in offshore *T. truncatus* (Van Waerebeek et al., 1990) but was absent in the inshore ecotype and in *P. spinipinnis*, both of which feed in neritic habitat (Van Waerebeek et al., 1990; Reyes & Van Waerebeek, 1995; Alfaro-Shigueto et al., 2008; Reyes, 2009). Other cases include those for *D. delphis* from the Eastern Tropical Pacific (Walker & Cowan, 1981) and from England and Wales (Gibson et al., 1998).

*Anisakis* spp. are nematodes commonly present in hosts inhabiting temperate waters (Davey, 1971). They affect a large number of cetacean species (Baylis, 1932; Delyamure, 1955; Dailey & Brownell, 1972), including also short-beaked common dolphins from the Northeast Atlantic, the Northeast Pacific and long-beaked common dolphin from South Africa, California, and Venezuela's Caribbean coast (Mignucci-Giannoni et al., 1998; Colom-Llavina, 2005). Murga et al. (1986) briefly commented

on *Anisakis* sp. in '*D. delphis*' from Chimbote, Peru. However, the majority of common dolphins landed at Peruvian ports, including Chimbote, have been the long-beaked form (Van Waerebeek et al., 1994a). In Peru, *Anisakis typica* was documented in both offshore (61.9%) and inshore (37.5%) ecotypes of the common bottlenose dolphin (Reyes, 1989; Van Waerebeek et al., 1990) and in 28.1% of Burmeister's porpoises (Reyes & Van Waerebeek, 1995). Interestingly *Anisakis* sp. was encountered in 40% (n = 218) of Peruvian dusky dolphins (Van Waerebeek et al., 1993), a significantly higher prevalence (two-tailed Fisher's,  $p < 0.0001$ ) than the 8.8% of common dolphins. Despite extensive group mixing, this suggests that important differences exist in ecological niches, or in susceptibility to infestation.

*Anisakis* sp. is reported here for *D. delphis* from Ecuador and was found in a larval form, with a higher prevalence, in Atlantic *D. delphis* (Berón-Vera et al., 2007) (Supplementary Material 1). This nematode affects also some other small odontocete hosts of the study region including the Chilean dolphin and Burmeister's porpoise in Chilean waters (Torres et al., 1992).

*Halocercus* sp. has previously been reported to infest lungs of common dolphins *D. delphis* s.l. in the Atlantic and Pacific oceans, and the Mediterranean and Black seas (Supplementary Material 1). In the present study only two long-beaked (prevalence = 7.4%) and one short-beaked common dolphin had a low-intensity *Halocercus* sp. lungworm infestation. For the SEP only one other case was mentioned for dusky dolphin (Van Waerebeek et al., 1993) and three cases in Burmeister's porpoise (Reyes & Van Waerebeek, 1995). *Halocercus* lungworms may cause verminous pneumonia and possibly death (Dailey, 1985). Dailey et al. (1991) found evidence of prenatal infection of *Halocercus lagenorhynchi* in *T. truncatus*, which begs the question whether transplacental transmission occurs exceptionally or frequently. Though *H. delphini* and *H. kleinenbergi* were reported in common dolphins from various oceanic regions (Supplementary Material 1), they were absent in *D. delphis* from the Patagonian coast of Argentina (Berón-Vera et al., 2007).

### Cestoda

*Tetraphobium forsteri* was present in both forms of common dolphin (Table 1). Three other final hosts for this cestode have been documented for the SEP, i.e., southern right whale dolphin in central Chile (Fernández, 1987) and inshore (prevalence = 16.7%) and offshore (70.6%) forms of *T. truncatus* in Peru (Van Waerebeek et al., 1990). In both short-beaked common dolphins from Ecuador and long-beaked common dolphins from Peru we found the merocercoids *C. grimaldii* and *C. delphini*. In the field the small phyllobothrid tapeworm larvae found in the blubber of delphinids (see Van Waerebeek et al., 1990, 1993) were classified as *Phyllobothrium delphini* (Bosc, 1802) and the significantly larger larvae encountered in multiple organs and tissues including serous membrane as *Monorygma grimaldii* (Moniez, 1899), according to earlier understandings (Testa & Dailey, 1977; Geraci et al., 1978). However, recent taxonomic studies, based on both molecular genetics and morphology, have renamed these, respectively, *Clistobothrium delphini* and *C. grimaldii* (Caira et al., 2020; Agustí et al., 2005a, b), nomenclature used in this paper. The taxonomic identity of these species has been in dispute for years (Agustí et al., 2005a,

b; Aznar et al., 2007). Recently, Caira et al. (2020), using broad phylogenetic data, have shed light on their nomenclature. These larval cestodes showed molecular affinities with *Clistobothrium* species, whose adults commonly occur in sharks (Caira et al., 2005). The cestodes *C. delphini* and *C. monorygma* from either stranded or captured cetaceans have been reported mostly in the Atlantic and North Pacific oceans. Both species may serve as bio-indicators in *T. truncatus*, as they are present only in the offshore population (Reyes, 1989; Van Waerebeek et al., 1990), presumably because the latter often feeds on squid (García-Godos et al., 2007), a likely intermediate host (Walker & Cowan, 1981). *Clistobothrium delphini* parasitized also 70.0% of Peruvian dusky dolphins (Van Waerebeek et al., 1993).

### Acanthocephala

No acanthocephalans were found in SEP *Delphinus*, analogous to checklists of helminth faunas in Peruvian dusky dolphin, Burmeister's porpoise, and common bottlenose dolphin (Reyes, 1989; Van Waerebeek, 1990, 1993; Reyes & Van Waerebeek, 1995). This suggests acanthocephalans are rare in small cetaceans of this region. Recent reviews of the diversity of marine acanthocephalans in Peru identified the South American sea lion (*Otaria byronia*) as the only known marine mammal host, namely for *Corynosoma obtusum* (Morales et al., 2005; Tantaleán et al., 2005). However, studies in the Atlantic, Mediterranean, and Australia revealed acanthocephalans such as *Bolbosoma vasculosum*, *C. cetaceum*, and *Corynosoma* sp. in common dolphins (Delyamure, 1955; Abollo et al., 1998; Costa et al., 2005; Berrón-Vera et al., 2007), most of which are thought to be short-beaked common dolphin.

In several organs subjected to macroscopic examination, no metazoan parasites were encountered, including the spleen (n = 3), kidneys (n = 8 paired), and liver (n = 3). This finding is consistent with the study by Ross (1984), which neither found helminths in the kidneys (n = 10), liver (n = 6), lungs (n = 8), and hearts (n = 4) of long-beaked common dolphin from the southeast coast of South Africa (see Samaai et al., 2005). A 185-cm female was the smallest dolphin for which spleen, kidneys, and liver were examined in Peru.

### Crustacea

This study documented the epizoite *X. globicipitis* on both SEP common dolphin forms. Previous records of *Xenobalanus* in *D. delphis* exist from the North Atlantic, western Mediterranean (4%), and North Pacific (Dollfus, 1968; Pilleri, 1970; Dailey & Walker, 1978). This pseudo-stalked barnacle is very common in Peruvian waters and has been found in the dusky dolphin with high prevalence, namely 38.9%, CI 35.4-42.5 (Van Waerebeek et al., 1993; Van Bressemer et al., 2006), in Burmeister's porpoise with prevalence = 23.8%, CI 13.3-38.7 (Huamán & Reyes, 1986; Reyes & Van Waerebeek, 1995), and in common bottlenose dolphins with prevalence = 6.8% (Van Waerebeek et al., 1990). However, in Ecuador, 42.3% of offshore bottlenose dolphins were infested with this epizoite (Félix & Castro, 2023). From photographs of free-ranging animals, the prevalence of *X. globicipitis* in the short-beaked and long-beaked common dolphin in the Eastern Tropical Pacific Ocean was estimated as 2.9% and 1%, respectively (Kane et al., 2008). These are considerably

lower values compared to the samples from coastal Peru. The high productivity linked to year-round upwelling in the Humboldt Current Large Marine Ecosystem (Gutiérrez et al., 2016), we suggest, creates a particularly favourable environment for a sessile filter-feeder like *Xenobalanus*, alongside providing a high abundance of phoretic hosts. Prevalence estimates derived from at-surface photographs of free-ranging dolphins (Kane et al., 2008) will inevitably underestimate true values considering that a number of barnacles will not be detected on largely submerged caudal and pectoral fins.

As was the case in Peruvian dusky dolphins (Van Waerebeek et al., 1993), this study found no associated cyamids despite the close inspection of hundreds of carcasses of long-beaked common dolphin. This shared absence is consistent with these dolphin species frequently forming mixed schools in coastal Peru (Van Waerebeek, 1994) which hypothetically would facilitate cyamid transmission. In contrast, *Isocyamus* sp. was occasionally encountered on Burmeister's porpoises landed in Peru (Reyes & Van Waerebeek, 1995) and also on a few common bottlenose dolphins (CEPEC, unpublished data). In the Atlantic, *Isocyamus delphini* has been documented for *D. delphis* (Leung, 1967), while cyamids for South African long-beaked common dolphin had also been reported (Ross, 1984) (Supplementary Material 1).

The presence of most gastrointestinal helminth parasites in marine mammals is directly related to their diet habits, as both fish and squid act as intermediate hosts (Dans et al., 1999; Berón-Vera et al., 2007). While there is a paucity of information on helminth fauna in prey species, we know more on the feeding ecology of the principal odontocetes of the study region. By far the main prey item of long-beaked common dolphin is the Peruvian anchovy *Engraulis ringens*. Other species include silverside *Odontesthes regia*, Peruvian pilchard *Sardinops sagax*, mackerel *Trachurus picturatus*, hake *Merluccius gayi*, and various squids (García-Godos et al., 2007). A study of the metazoan parasites of *E. ringens* in Chilean waters revealed the occurrence of *Anisakis* sp. (Valdivia et al., 2007) which may plausibly explain why common dolphins are infested. Also, the complete lack of parasites observed in the pancreatic duct of 17 long-beaked common dolphins in Peru contrasts with the high prevalence rate (76.7%) of *Oschmarinella rochebruni* found in the ducts of long-beaked specimens from California (Cowan et al., 1986). This finding suggests a significantly different feeding ecology and/or parasitic susceptibility which may have a genetical base and may reflect a phylogenetic distance between these two long-beaked common dolphin populations.

In order to improve sample sizes, establish time series, and help reveal helminth life cycles and hosting, we recommend systematic, standardized parasite sampling from dolphin bycatch in the study region, and most especially from short-beaked common dolphins to allow a comparative analysis between both common dolphin forms. Surveys of marine mammal helminth fauna in the SEP region linked to detailed, laboratory-based necropsies, unfeasible under the field conditions of the present study (*i.e.* time constraints due to simultaneous sampling of specimens, impacting the number of all organs analysed) should yield better information on pathological processes including clinical signs, and contribute to the understanding of verminous

morbidity and mortality, and ultimately the impact on the general health status of cetacean populations. Conceivably, cetacean helminths may have some relevance also for human health, considering that dolphins and porpoises are still occasionally consumed in Peru (Alfaro-Shigueto et al., 2008; Mangel et al., 2010; Tzika et al., 2010). Other studies with a zoonotic focus (Miyazaki, 1991; Tantaleán, 1994; McCarthy & Moore, 2000; Goldsmid, 2005) have discussed precautions to prevent the accidental transmission of helminth parasites to humans through the handling and consumption of insufficiently cooked cetacean products, so-called aquatic bushmeat or 'wild meat' prevalent in many regions of the world (*e.g.*, Ofori-Danson et al., 2003; Clapham & Van Waerebeek, 2007).

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### Conflict of interest

The authors declare that no conflict of interest related to the implementation of this research exists.

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#### Supplementary material

Supplementary Material 1 - Overview of known metazoan parasites and epizoots in common dolphins *Delphinus* spp.